



Other Supplementary Information
Year Ended June 30, 2017

**The University of Oklahoma Health
Sciences Center**



Independent Auditor's Report on Internal Control over Financial Reporting and on Compliance and Other Matters Based on an Audit of Financial Statements Performed in Accordance with *Government Auditing Standards*

Board of Regents of the University of Oklahoma
The University of Oklahoma Health Sciences Center
Norman, Oklahoma

We have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States, the financial statements of The University of Oklahoma Health Science Center (the Center), an organizational unit of the Regents of the University of Oklahoma (the Regents), which is a component unit of the State of Oklahoma, which comprise the statement of net position as of June 30, 2017, and the related statements of revenues, expenses, and changes in net position and cash flows for the year then ended, and the related notes to the financial statements, which collectively comprise the Center's basic financial statements, and have issued our report thereon dated October 17, 2017. Our report includes an emphasis of matter paragraph describing the acknowledgement that the Center is an organizational unit of the Regents and these financial statements reflect only the assets, liabilities, and revenues and expenses of the Center and not the Regents as a whole.

Internal Control Over Financial Reporting

In planning and performing our audit of the financial statements, we considered the Center's internal control over financial reporting (internal control) to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the Center's internal control. Accordingly, we do not express an opinion on the effectiveness of the Center's internal control.

A *deficiency in internal control* exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. A *material weakness* is a deficiency, or a combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis. A *significant deficiency* is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies and therefore, material weaknesses or significant deficiencies may exist that were not identified. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

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Compliance and Other Matters

As part of obtaining reasonable assurance about whether the Center's financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

Purpose of this Report

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the entity's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the entity's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.

A handwritten signature in black ink that reads "Eide Bailly LLP". The signature is written in a cursive, flowing style.

Oklahoma City, Oklahoma
October 17, 2017



Independent Auditor's Report on Compliance for Each Major Federal Program; Report on Internal Control Over Compliance Required by the Uniform Guidance

Board of Regents of the University of Oklahoma
The University of Oklahoma Health Science Center
Norman, Oklahoma

Report on Compliance for Each Major Federal Program

We have audited The University of Oklahoma Health Science Center's (Center) compliance with the types of compliance requirements described in the *OMB Compliance Supplement* that could have a direct and material effect on each of the Center's major federal programs for the year ended June 30, 2017. The Center's major federal programs are identified in the summary of auditor's results section of the accompanying schedule of findings and questioned costs.

Management's Responsibility

Management is responsible for compliance with federal statutes, regulations, and the terms and conditions of its federal awards applicable to its federal programs.

Auditor's Responsibility

Our responsibility is to express an opinion on the compliance for each of the Center's major federal programs based on our audit of the types of compliance requirements referred to above. We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and the audit requirements of Title 2 U.S. *Code of Federal Regulations* Part 200, *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards* (Uniform Guidance). Those standards and the Uniform Guidance require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the Center's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances.

We believe that our audit provides a reasonable basis for our opinion on compliance for each major federal program. However, our audit does not provide a legal determination of the Center's compliance.

Opinion on Each Major Federal Program

In our opinion, the Center complied, in all material respects, with the compliance requirements referred to above that could have a direct and material effect on each of its major federal programs for the year ended June 30, 2017.

Report on Internal Control over Compliance

Management of the Center is responsible for establishing and maintaining effective internal control over compliance with the compliance requirements referred to above. In planning and performing our audit of compliance, we considered the Center's internal control over compliance with the types of requirements that could have a direct and material effect on each major federal program to determine the auditing procedures that are appropriate in the circumstances for the purpose of expressing an opinion on compliance for each major federal program and to test and report on internal control over compliance in accordance with the Uniform Guidance, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the Center's internal control over compliance.

A deficiency in internal control over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a federal program on a timely basis. *A material weakness in internal control over compliance* is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a compliance requirement will not be prevented, or detected and corrected, on a timely basis. *A significant deficiency in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance with a type of compliance requirement of a federal program that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies and therefore, material weaknesses and significant deficiencies may exist that were not identified. We consider the deficiency in internal control over compliance, as described in the accompanying schedule of findings and questioned costs as item 2017-001, to be a material weakness.

The Center's responses to the internal control over compliance findings identified in our audit is described in the accompanying schedule of findings and questioned costs. The Center's response was not subjected to the auditing procedures applied in the audit of compliance and, accordingly, we express no opinion on the response.

The purpose of this report on internal control over compliance is solely to describe the scope of our testing of internal control over compliance and the results of that testing based on the requirements of the Uniform Guidance. Accordingly, this report is not suitable for any other purpose.



Oklahoma City, Oklahoma
October 17, 2017

The University of Oklahoma Health Sciences Center
Schedule of Expenditures of Federal Awards
Year Ended June 30, 2017

Research and Development

Department of Health and Human Services	\$ 58,609,285
Department of Defense	257,141
Department of Education	583,523
National Science Foundation	216,298
Other Federal Agencies	52,439

Total Research and Development 59,718,686

Instruction

Department of Health and Human Services	2,586,973
Department of Defense	281,858
Department of Agriculture	1,099,661
Department of Justice	2,687,896
Other Federal Agencies	234,146

Total Instruction 6,890,534

Other

Department of Health and Human Services	10,283,149
Department of Agriculture	8,071
Department of Justice	223,565
Other Federal Agencies	34,737

Total Other 10,549,522

Student Financial Aid

Department of Education	65,011,775
Department of Health and Human Services	7,936,613

Total Student Financial Aid 72,948,388

Total Federal Program Expenditures \$ 150,107,130

The University of Oklahoma Health Sciences Center
Detailed Schedule of Expenditures of Federal Awards
Year Ended June 30, 2017

Federal Agency	Direct/Pass through	CFDA	Award Number	Grantor Name	Expenditure	Subrecipient Expenditures
DHHS Research - Direct						
Department of Health and Human Services	Direct	93.077	1R03DA041928	Natl Inst on Drug Abuse	67,724	
Department of Health and Human Services	Direct	93.110	1R40MC29449	Maternal & Child Health Bureau	75,888	
Department of Health and Human Services	Direct	93.121	1R21DE024235	Natl Inst of Dental & Craniofacial Research	85,223	
Department of Health and Human Services	Direct	93.121	2R15DE019940	Natl Inst of Dental & Craniofacial Research	182,209	
Department of Health and Human Services	Direct	93.127	5H33MC06689	Maternal & Child Health Bureau	131,905	
Department of Health and Human Services	Direct	93.213	1R01AT006526	Natl Ctr on Complementary & Alternative Medicine	14,057	
Department of Health and Human Services	Direct	93.226	P1R18HS023919	Agency for Healthcare Research & Quality	4,624,411	16,925
Department of Health and Human Services	Direct	93.226	P30HS021644	Agency for Healthcare Research & Quality	92,560	63,432
Department of Health and Human Services	Direct	93.226	R18HS023237	Agency for Healthcare Research & Quality	422,798	74,788
Department of Health and Human Services	Direct	93.226	R21HS024064	Agency for Healthcare Research & Quality	133,655	
Department of Health and Human Services	Direct	93.242	7K08MH099240	Natl Inst of Mental Health	198,694	
Department of Health and Human Services	Direct	93.262	R01OH010241	Natl Inst of Occupational Safety and Health	611,137	18,823
Department of Health and Human Services	Direct	93.273	1R21AA022596	Office of Aids Research	83,207	
Department of Health and Human Services	Direct	93.273	R01AA012207	Natl Inst on Alcohol Abuse & Alcoholism	447,800	153,724
Department of Health and Human Services	Direct	93.279	7R01DA032581	Natl Inst on Drug Abuse	155,570	
Department of Health and Human Services	Direct	93.279	7R21DA038001	Natl Inst on Drug Abuse	138,115	
Department of Health and Human Services	Direct	93.279	R36DA042208	Natl Inst on Drug Abuse	63,525	
Department of Health and Human Services	Direct	93.307	1R01MD011266	Natl Ctr on Minority Health & Health Disparities	311,279	30,232
Department of Health and Human Services	Direct	93.307	2P20MD000528	Natl Ctr on Minority Health & Health Disparities	1,035,821	129,938
Department of Health and Human Services	Direct	93.351	1P40OD010431	Office of the Director, NIH	731,277	116,360
Department of Health and Human Services	Direct	93.351	2P40OD010988	Office of Research Infrastructure Programs	1,416,535	271,116
Department of Health and Human Services	Direct	93.393	1R01CA19620001A1	Natl Cancer Inst	464,566	
Department of Health and Human Services	Direct	93.393	1R03CA181584	Natl Cancer Inst	22,013	
Department of Health and Human Services	Direct	93.393	7R01CA160271	Natl Cancer Inst	236,577	19,120
Department of Health and Human Services	Direct	93.393	7R01CA172786	Natl Cancer Inst	438,430	97,452
Department of Health and Human Services	Direct	93.393	7R01CA178456	Natl Cancer Inst	410,170	18,212
Department of Health and Human Services	Direct	93.393	R01CA197314	Natl Cancer Inst	375,609	38,266
Department of Health and Human Services	Direct	93.393	R01CA204891	Natl Cancer Inst	208,760	
Department of Health and Human Services	Direct	93.393	R01CA213987	Natl Cancer Inst	59,679	19,563
Department of Health and Human Services	Direct	93.394	1R33CA202898	Natl Cancer Inst	372,928	
Department of Health and Human Services	Direct	93.395	1R01CA167516	Natl Cancer Inst	290,716	29,798
Department of Health and Human Services	Direct	93.395	1R01CA200126	Natl Cancer Inst	450,397	
Department of Health and Human Services	Direct	93.395	1U10CA180798	Natl Cancer Inst	1,297,806	
Department of Health and Human Services	Direct	93.395	7R01CA138701	Natl Cancer Inst	248,953	

The University of Oklahoma Health Sciences Center
Detailed Schedule of Expenditures of Federal Awards
Year Ended June 30, 2017

Federal Agency	Direct/Pass through	CFDA	Award Number	Grantor Name	Expenditure	Subrecipient Expenditures
Department of Health and Human Services	Direct	93.395	R01CA136494	Natl Cancer Inst	284,207	
Department of Health and Human Services	Direct	93.395	R01CA157481	Natl Cancer Inst	321,990	71,568
Department of Health and Human Services	Direct	93.395	R01CA213278	Natl Cancer Inst	131,676	
Department of Health and Human Services	Direct	93.395	R21CA186175	Natl Cancer Inst	89,983	
Department of Health and Human Services	Direct	93.396	1R01CA154745	Natl Cancer Inst	71,180	
Department of Health and Human Services	Direct	93.396	1R01CA182869	Natl Cancer Inst	292,397	45,162
Department of Health and Human Services	Direct	93.396	7R01CA096991	Natl Cancer Inst	61,700	
Department of Health and Human Services	Direct	93.396	7R01CA170006	Natl Cancer Inst	314,134	17,752
Department of Health and Human Services	Direct	93.396	R01CA186338	Natl Cancer Inst	296,532	63,677
Department of Health and Human Services	Direct	93.396	R01CA203108	Natl Cancer Inst	512,269	228,235
Department of Health and Human Services	Direct	93.837	1R01HL109284	Natl Heart, Lung and Blood Institue	998,164	
Department of Health and Human Services	Direct	93.837	1R01HL120585	Natl Heart, Lung and Blood Institue	371,841	37,558
Department of Health and Human Services	Direct	93.837	1R01HL135165	Natl Heart, Lung and Blood Institue	164,421	
Department of Health and Human Services	Direct	93.837	2R56HL056267	Natl Heart, Lung and Blood Institue	207,438	1,378
Department of Health and Human Services	Direct	93.837	R01HL117729	Natl Heart, Lung and Blood Institue	519,003	192,628
Department of Health and Human Services	Direct	93.837	R01HL118558	Natl Heart, Lung and Blood Institue	350,271	
Department of Health and Human Services	Direct	93.837	R01HL122166	Natl Heart, Lung and Blood Institue	265,896	
Department of Health and Human Services	Direct	93.837	R56HL128393	Natl Heart, Lung and Blood Institue	210,828	73,645
Department of Health and Human Services	Direct	93.837	R01HL128393	Natl Heart, Lung and Blood Institue	187,843	53,270
Department of Health and Human Services	Direct	93.837	R01HL128455	Natl Heart, Lung and Blood Institue	288,340	
Department of Health and Human Services	Direct	93.837	R01HL132553	Natl Heart, Lung and Blood Institue	61,489	
Department of Health and Human Services	Direct	93.838	1R01HL116863	Natl Heart, Lung and Blood Institue	264,245	
Department of Health and Human Services	Direct	93.839	1R01HL104286	Natl Heart, Lung and Blood Institue	12,809	
Department of Health and Human Services	Direct	93.839	K01HL135466	Natl Heart, Lung and Blood Institue	15,080	
Department of Health and Human Services	Direct	93.846	1K08AR070891	Natl Inst of Arthritis & Musculoskeletal Disease	4,514	
Department of Health and Human Services	Direct	93.846	R01AR064211	Natl Inst of Arthritis & Musculoskeletal Disease	363,541	
Department of Health and Human Services	Direct	93.847	1R01DK089034	Natl Inst of Diabetes & Digestive Kidney Disease	110	110
Department of Health and Human Services	Direct	93.847	1R01DK093403	Natl Inst of Diabetes & Digestive Kidney Disease	214,545	
Department of Health and Human Services	Direct	93.847	5R01DK059599	Natl Inst of Diabetes & Digestive Kidney Disease	(523)	
Department of Health and Human Services	Direct	93.847	7R01DK097092	Natl Inst of Diabetes & Digestive Kidney Disease	54,997	
Department of Health and Human Services	Direct	93.847	K23DK106533	Natl Inst of Diabetes & Digestive Kidney Disease	119,319	
Department of Health and Human Services	Direct	93.847	R56DK108887	Natl Inst of Diabetes & Digestive Kidney Disease	109,363	
Department of Health and Human Services	Direct	93.853	1R01NS064901	Natl Inst of Neurological Disorders and Stroke	215,248	71,308
Department of Health and Human Services	Direct	93.853	1R21NS082639	Natl Inst of Neurological Disorders and Stroke	19,788	
Department of Health and Human Services	Direct	93.853	1R21NS090117	Natl Inst of Neurological Disorders and Stroke	27,590	

The University of Oklahoma Health Sciences Center
Detailed Schedule of Expenditures of Federal Awards
Year Ended June 30, 2017

Federal Agency	Direct/Pass through	CFDA	Award Number	Grantor Name	Expenditure	Subrecipient Expenditures
Department of Health and Human Services	Direct	93.853	F31NS089358	Natl Inst of Neurological Disorders and Stroke	33,027	
Department of Health and Human Services	Direct	93.853	R01NS056218	Natl Inst of Neurological Disorders and Stroke	478,948	
Department of Health and Human Services	Direct	93.853	R01NS072418	Natl Inst of Neurological Disorders and Stroke	187,155	
Department of Health and Human Services	Direct	93.853	R01NS098891	Natl Inst of Neurological Disorders and Stroke	193,805	
Department of Health and Human Services	Direct	93.855	1R01AI125446	Natl Inst of Allergy & Infectious Disease	274,820	
Department of Health and Human Services	Direct	93.855	1R03AI123951	Natl Inst of Allergy & Infectious Disease	70,272	
Department of Health and Human Services	Direct	93.855	1R03AI126001	Natl Inst of Allergy & Infectious Disease	62,496	
Department of Health and Human Services	Direct	93.855	1R21AI125708	Natl Inst of Allergy & Infectious Disease	152,132	
Department of Health and Human Services	Direct	93.855	1R21AI129560	Natl Inst of Allergy & Infectious Disease	42,492	
Department of Health and Human Services	Direct	93.855	2R01AI053108	Natl Inst of Allergy & Infectious Disease	119,838	
Department of Health and Human Services	Direct	93.855	2R01AI059373	Natl Inst of Allergy & Infectious Disease	168,023	
Department of Health and Human Services	Direct	93.855	2R56AI078993	Natl Inst of Allergy & Infectious Disease	6,066	5,749
Department of Health and Human Services	Direct	93.855	2T32AI007633	Natl Inst of Allergy & Infectious Disease	158,137	
Department of Health and Human Services	Direct	93.855	5R37AI037657	Natl Inst of Allergy & Infectious Disease	564,701	79,212
Department of Health and Human Services	Direct	93.855	R01AI088011	Natl Inst of Allergy & Infectious Disease	73,892	39,431
Department of Health and Human Services	Direct	93.855	R01AI118836	Natl Inst of Allergy & Infectious Disease	270,815	
Department of Health and Human Services	Direct	93.855	R01AI119048	Natl Inst of Allergy & Infectious Disease	439,083	
Department of Health and Human Services	Direct	93.855	R01AI127481	Natl Inst of Allergy & Infectious Disease	99,150	
Department of Health and Human Services	Direct	93.855	R13AI126741	Natl Inst of Allergy & Infectious Disease	9,000	
Department of Health and Human Services	Direct	93.855	R21AI121925	Natl Inst of Allergy & Infectious Disease	214,407	
Department of Health and Human Services	Direct	93.859	1U54GM104938	Natl Inst of General Medical Sciences	3,580,810	1,298,190
Department of Health and Human Services	Direct	93.859	2P20GM103447	Natl Inst of General Medical Sciences	3,864,432	1,131,270
Department of Health and Human Services	Direct	93.859	2R01GM062964	Natl Inst of General Medical Sciences	18,585	
Department of Health and Human Services	Direct	93.859	2R01GM074692	Natl Inst of General Medical Sciences	108,162	
Department of Health and Human Services	Direct	93.859	5P20GM103639	Natl Inst of General Medical Sciences	1,813,387	
Department of Health and Human Services	Direct	93.859	7R01GM094268	Natl Inst of General Medical Sciences	99,173	
Department of Health and Human Services	Direct	93.859	P20GM104934	Natl Inst of General Medical Sciences	2,109,053	117,800
Department of Health and Human Services	Direct	93.859	R01GM060651	Natl Inst of General Medical Sciences	280,616	
Department of Health and Human Services	Direct	93.859	R01GM104251	Natl Inst of General Medical Sciences	333,591	
Department of Health and Human Services	Direct	93.859	R01GM113940	Natl Inst of General Medical Sciences	387,579	
Department of Health and Human Services	Direct	93.859	R01GM118599	Natl Inst of General Medical Sciences	238,898	
Department of Health and Human Services	Direct	93.865	1F32HD075535	Natl Inst of Child Health & Human Development	(660)	
Department of Health and Human Services	Direct	93.865	8UG1OD024950	Natl Inst of Child Health & Human Development	181,936	
Department of Health and Human Services	Direct	93.865	R01HD074579	Natl Inst of Child Health & Human Development	76,530	23,151
Department of Health and Human Services	Direct	93.865	U10HD077680	Natl Inst of Child Health & Human Development	328,782	93,676

The University of Oklahoma Health Sciences Center
Detailed Schedule of Expenditures of Federal Awards
Year Ended June 30, 2017

Federal Agency	Direct/Pass through	CFDA	Award Number	Grantor Name	Expenditure	Subrecipient Expenditures
Department of Health and Human Services	Direct	93.866	1F32AG048728	Natl Inst on Aging	9,136	
Department of Health and Human Services	Direct	93.866	1P30AG050911	Natl Inst on Aging	1,023,851	479,423
Department of Health and Human Services	Direct	93.866	1R01AG038747	Natl Inst on Aging	(10,853)	
Department of Health and Human Services	Direct	93.866	1R15AG048535	Natl Inst on Aging	91,315	59,769
Department of Health and Human Services	Direct	93.866	1T32AG052363	Natl Inst on Aging	22,556	
Department of Health and Human Services	Direct	93.866	7R13AG012917	Natl Inst on Aging	65,397	
Department of Health and Human Services	Direct	93.866	K99AG051661	Natl Inst on Aging	105,125	
Department of Health and Human Services	Direct	93.866	R01AG045693	Natl Inst on Aging	997,303	
Department of Health and Human Services	Direct	93.866	R01AG047879	Natl Inst on Aging	311,248	
Department of Health and Human Services	Direct	93.866	R01AG049780	Natl Inst on Aging	393,238	
Department of Health and Human Services	Direct	93.867	1R01EY019490	Natl Eye Inst	26,430	
Department of Health and Human Services	Direct	93.867	1R01EY022071	Natl Eye Inst	46,680	
Department of Health and Human Services	Direct	93.867	1R01EY023568	Natl Eye Inst	158,480	6,603
Department of Health and Human Services	Direct	93.867	1R21EY024583	Natl Eye Inst	139,961	
Department of Health and Human Services	Direct	93.867	1R21EY025256	Natl Eye Inst	209,034	38,214
Department of Health and Human Services	Direct	93.867	2R01EY009391	Natl Eye Inst	54,052	
Department of Health and Human Services	Direct	93.867	2R01EY012231	Natl Eye Inst	230,818	
Department of Health and Human Services	Direct	93.867	2R01EY019494	Natl Eye Inst	134,213	
Department of Health and Human Services	Direct	93.867	7R01EY021716	Natl Eye Inst	101,951	
Department of Health and Human Services	Direct	93.867	F31EY025168	Natl Eye Inst	30,184	
Department of Health and Human Services	Direct	93.867	P30EY021725	Natl Eye Inst	601,774	
Department of Health and Human Services	Direct	93.867	R01EY000871	Natl Eye Inst	555,054	
Department of Health and Human Services	Direct	93.867	R01EY004149	Natl Eye Inst	412,191	
Department of Health and Human Services	Direct	93.867	R01EY018659	Natl Eye Inst	429,661	
Department of Health and Human Services	Direct	93.867	R01EY019309	Natl Eye Inst	414,537	
Department of Health and Human Services	Direct	93.867	R01EY021238	Natl Eye Inst	324,617	
Department of Health and Human Services	Direct	93.867	R01EY022111	Natl Eye Inst	(13,120)	10,661
Department of Health and Human Services	Direct	93.867	R01EY024140	Natl Eye Inst	342,523	
Department of Health and Human Services	Direct	93.867	R01EY024951	Natl Eye Inst	360,074	
Department of Health and Human Services	Direct	93.867	R01EY025947	Natl Eye Inst	267,648	25,990
Department of Health and Human Services	Direct	93.867	R13EY022574	Natl Eye Inst	37,901	
Department of Health and Human Services	Direct	93.867	R21EY024520	Natl Eye Inst	178,204	
Department of Health and Human Services	Direct	93.867	R21EY026229	Natl Eye Inst	121,878	
Department of Health and Human Services	Direct	93.867	T32EY023202	Natl Eye Inst	155,018	

The University of Oklahoma Health Sciences Center
Detailed Schedule of Expenditures of Federal Awards
Year Ended June 30, 2017

Federal Agency	Direct/Pass through	CFDA	Award Number	Grantor Name	Expenditure	Subrecipient Expenditures
Department of Health and Human Services	Direct	93.xxx	HHSF223201210008I	Food and Drug Administration	146,365	
Department of Health and Human Services	Direct	93.xxx	HHSN261201200013I	Natl Cancer Inst	949,500	700,498
Department of Health and Human Services	Direct	93.xxx	HHSN261201200020I	Natl Cancer Inst	256,236	75,016
Department of Health and Human Services	Direct	93.xxx	HHSN261201500038I	Natl Cancer Inst	786,264	149,064
Total DHHS Direct Research					50,827,799	6,287,757
Research Direct - Other						
Department of Agriculture	Direct	10.314	20176800126355		12,018	
Department of Defense	Direct	12.420	W81XWH1410392	Dept of the Army	118,219	
Department of Defense	Direct	12.420	W81XWH1610295	Dept of the Army	122,523	
National Science Foundation	Direct	47.074	1616845		54,743	
Department of Education	Direct	84.324	R324A110353		11,137	4,060
Department of Education	Direct	84.324	R324A120232		377,400	91,707
Department of Education	Direct	84.324	R324A160226	Natl Ctr for Special Education	194,986	7,763
Total Other Direct Research					891,026	103,530
Total Direct Research					51,718,825	6,391,287
DHHS Research - Pass Through						
Department of Health and Human Services	Pass-Through	93.077	5P50CA180906	Univ of Texas MD Anderson Cancer Center	36,763	
Department of Health and Human Services	Pass-Through	93.103	60036258	Ohio State University	(15,570)	
Department of Health and Human Services	Pass-Through	93.110	034491	Univ of Arkansas for Medical Sciences	24,432	
Department of Health and Human Services	Pass-Through	93.121	UOKDE024964	Penn State University	119,532	
Department of Health and Human Services	Pass-Through	93.127	307872	University of Arizona	156,429	
Department of Health and Human Services	Pass-Through	93.135	9468SC	University of California, San Francisco	132,484	
Department of Health and Human Services	Pass-Through	93.136	P0386AB	Oregon State University	4,354	
Department of Health and Human Services	Pass-Through	93.136	P0430AC	Oregon State University	5,102	
Department of Health and Human Services	Pass-Through	93.226	431849	Rutgers University	23,050	
Department of Health and Human Services	Pass-Through	93.226	000413884004	Univ of Alabama at Birmingham	2,621	
Department of Health and Human Services	Pass-Through	93.242	11103B	Laureate Institute for Brain Research	5,473	
Department of Health and Human Services	Pass-Through	93.242	32677694	University of California, San Diego	7,711	
Department of Health and Human Services	Pass-Through	93.262	2T42OH008436	Univ of Alabama at Birmingham	427	
Department of Health and Human Services	Pass-Through	93.262	2T42OH008421	University of Texas Health Sciences Ctr	1,760	
Department of Health and Human Services	Pass-Through	93.273	UOHA021661	Penn State University	12,287	
Department of Health and Human Services	Pass-Through	93.279	R01DA033289	Univ of Texas MD Anderson Cancer Center	2,952	
Department of Health and Human Services	Pass-Through	93.279	R34DA037391	Univ of Texas MD Anderson Cancer Center	6,009	
Department of Health and Human Services	Pass-Through	93.307	127687G003657	Washington State University	108,174	

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Federal Agency	Direct/Pass through	CFDA	Award Number	Grantor Name	Expenditure	Subrecipient Expenditures
Department of Health and Human Services	Pass-Through	93.310	3U01AR057956	Children's Hospital of Philadelphia	336	
Department of Health and Human Services	Pass-Through	93.361	132514	Cincinnati Children's Hospital Medical Center	49,309	
Department of Health and Human Services	Pass-Through	93.361	0043908-1260614	University of Pittsburgh	9,711	
Department of Health and Human Services	Pass-Through	93.389	0000188722	University of South Florida	27,061	
Department of Health and Human Services	Pass-Through	93.393	10321288	University of California, San Diego	6,123	
Department of Health and Human Services	Pass-Through	93.393	5R01CA141628	Univ of Texas MD Anderson Cancer Center	70,062	
Department of Health and Human Services	Pass-Through	93.393	R01CA184781	Univ of Texas MD Anderson Cancer Center	5,623	
Department of Health and Human Services	Pass-Through	93.394	RTOG3501	American College of Radiology	8,362	
Department of Health and Human Services	Pass-Through	93.394	2016-86	University of Oklahoma - Norman	6,266	
Department of Health and Human Services	Pass-Through	93.394	U01CA200246	Univ of Texas MD Anderson Cancer Center	31,870	
Department of Health and Human Services	Pass-Through	93.395	ACRIN6690	American College of Radiology	4,062	
Department of Health and Human Services	Pass-Through	93.395	OK003	Brigham and Women's Hospital	62,898	
Department of Health and Human Services	Pass-Through	93.395	1U10CA180886	Children's Hospital of Philadelphia	139,883	
Department of Health and Human Services	Pass-Through	93.395	1R43CA18657701A1	COARE Biotechnology, Inc.	672	
Department of Health and Human Services	Pass-Through	93.395	1R43CA18943601A1	COARE Biotechnology, Inc.	(2,059)	
Department of Health and Human Services	Pass-Through	93.395	U10CA76001	Duke Clinical Research Institute	10,041	
Department of Health and Human Services	Pass-Through	93.395	U10CA180820	ECOG-ACRIN	15,226	
Department of Health and Human Services	Pass-Through	93.395	1U10CA180868	NRG Oncology Foundation, Inc.	14,149	
Department of Health and Human Services	Pass-Through	93.395	UG1CA189867	NRG Oncology Foundation, Inc.	138,394	
Department of Health and Human Services	Pass-Through	93.395		NRG Oncology Foundation, Inc.	401,717	15,750
Department of Health and Human Services	Pass-Through	93.395		Oncolytics Biotech	(4,797)	
Department of Health and Human Services	Pass-Through	93.395	20151445	Optimum Therapeutics LLC	14,201	
Department of Health and Human Services	Pass-Through	93.395	20152632	Optimum Therapeutics LLC	26,090	
Department of Health and Human Services	Pass-Through	93.397	1P20CA202921	University of Oklahoma - Norman	134,445	
Department of Health and Human Services	Pass-Through	93.399	DP2	NRG Oncology Foundation, Inc.	793	
Department of Health and Human Services	Pass-Through	93.424	U38OT000140	Association of University Centers on Disabilities	199,871	55,361
Department of Health and Human Services	Pass-Through	93.505	3409020651	Oklahoma Department of Human Services	663,388	
Department of Health and Human Services	Pass-Through	93.733	NH23IP0009500301	Academic Pediatric Association	46,471	
Department of Health and Human Services	Pass-Through	93.779	1L1CMS331451010004	Iowa Healthcare Collaborative	253,244	
Department of Health and Human Services	Pass-Through	93.837	GENFD0001219271	Boston Children's Hospital	144,342	
Department of Health and Human Services	Pass-Through	93.837	OUB001	DVX, LLC	17,371	
Department of Health and Human Services	Pass-Through	93.837	U01HL107407	New England Research Institute	42,507	
Department of Health and Human Services	Pass-Through	93.837	06DON	National Marrow Donor Program	1,494	
Department of Health and Human Services	Pass-Through	93.837	127880G003664	Washington State University	114,020	

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Federal Agency	Direct/Pass through	CFDA	Award Number	Grantor Name	Expenditure	Subrecipient Expenditures
Department of Health and Human Services	Pass-Through	93.837	127932G003604	Washington State University	116,423	
Department of Health and Human Services	Pass-Through	93.838	5U01HL107681-04	Boston Children's Hospital	1,075	
Department of Health and Human Services	Pass-Through	93.839	BMTCTN0801	National Marrow Donor Program	2,651	
Department of Health and Human Services	Pass-Through	93.839	R42HL110727	Phoenicia Biosciences, Inc.	27,450	
Department of Health and Human Services	Pass-Through	93.839	A12470	Rensselaer Polytechnic Institute	67,237	
Department of Health and Human Services	Pass-Through	93.846	024005OUHSC	Oklahoma Medical Research Institute	110,665	
Department of Health and Human Services	Pass-Through	93.846	026101OUHSC	Oklahoma Medical Research Institute	5,701	
Department of Health and Human Services	Pass-Through	93.846	S1603357009	Oklahoma Medical Research Institute	7,875	
Department of Health and Human Services	Pass-Through	93.846	S1700287009	Oklahoma Medical Research Institute	11,997	
Department of Health and Human Services	Pass-Through	93.846	3002095843	University of Michigan	7,808	
Department of Health and Human Services	Pass-Through	93.847	130018	Children's Mercy Hospital - Kansas City, MO	133	
Department of Health and Human Services	Pass-Through	93.847	1R56DK097016	Columbia University	7,142	
Department of Health and Human Services	Pass-Through	93.847	T659853	Emory University	15,218	
Department of Health and Human Services	Pass-Through	93.847	14D14	George Washington University	267,184	
Department of Health and Human Services	Pass-Through	93.847	130018	Nationwide Children's Hospital	7,378	
Department of Health and Human Services	Pass-Through	93.847	1604613301	Texas Biomedical Research Institute	1,545	
Department of Health and Human Services	Pass-Through	93.847	FY17001022	University of Colorado	15,156	
Department of Health and Human Services	Pass-Through	93.847	TrialNet	University of South Florida	9,881	
Department of Health and Human Services	Pass-Through	93.847	R21DK1059913	University of Texas Rio Grande Valley	121,273	
Department of Health and Human Services	Pass-Through	93.855	0109805s32	Benaroya Research Institute	51,546	
Department of Health and Human Services	Pass-Through	93.855	225488	Massachusetts General Hospital	8,191	
Department of Health and Human Services	Pass-Through	93.855	0170-12OUHSC/WH	Oklahoma Medical Research Institute	33,264	
Department of Health and Human Services	Pass-Through	93.855	0170-13OUHSC/JB	Oklahoma Medical Research Institute	147,618	
Department of Health and Human Services	Pass-Through	93.855	017013OUHSCJM	Oklahoma Medical Research Institute	326,646	
Department of Health and Human Services	Pass-Through	93.855	A199F	Oklahoma Medical Research Institute	356,795	
Department of Health and Human Services	Pass-Through	93.855	A217F	Oklahoma Medical Research Institute	48,734	
Department of Health and Human Services	Pass-Through	93.855	61211467-28281	Stanford University	134,182	
Department of Health and Human Services	Pass-Through	93.855	FY2014066M1	Univ of Kansas Medical Center Research Inst	16,474	
Department of Health and Human Services	Pass-Through	93.855	1R21AI108860	University of California, San Francisco	(2)	
Department of Health and Human Services	Pass-Through	93.855	2015-52	University of Oklahoma - Norman	18,340	
Department of Health and Human Services	Pass-Through	93.859	02490505OUHSC	Oklahoma Medical Research Institute	287,270	
Department of Health and Human Services	Pass-Through	93.859	024905072OUHSC	Oklahoma Medical Research Institute	84,870	
Department of Health and Human Services	Pass-Through	93.859	57113701	Oklahoma State University Center for Health Sciences	155,393	
Department of Health and Human Services	Pass-Through	93.859	5554670	Oklahoma State University	25,404	
Department of Health and Human Services	Pass-Through	93.859	5554678	Oklahoma State University	243,630	

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Federal Agency	Direct/Pass through	CFDA	Award Number	Grantor Name	Expenditure	Subrecipient Expenditures
Department of Health and Human Services	Pass-Through	93.859	P20GM103648	Oklahoma State University	153,678	
Department of Health and Human Services	Pass-Through	93.859	201687	University of Oklahoma - Norman	320,048	
Department of Health and Human Services	Pass-Through	93.859	201724	University of Oklahoma - Norman	3,927	
Department of Health and Human Services	Pass-Through	93.865	2150113UO	Loma Linda University	80,891	
Department of Health and Human Services	Pass-Through	93.865	1-0002	Miyazaki Enterprises	1,315	
Department of Health and Human Services	Pass-Through	93.865	1R01HD080444	University of Minnesota	224,438	
Department of Health and Human Services	Pass-Through	93.865	RGC000000639C	University of Texas Southwestern Medical	9,830	
Department of Health and Human Services	Pass-Through	93.865	WSU16076A1	Wayne State University	19,753	
Department of Health and Human Services	Pass-Through	93.865	2U10HD055925	Yale University	329,629	
Department of Health and Human Services	Pass-Through	93.866	9008468OUHSC	Oregon Health Science University	14,422	
Department of Health and Human Services	Pass-Through	93.866	1P30AG028718	Univ of Arkansas for Medical Sciences	1,977	
Department of Health and Human Services	Pass-Through	93.866	5P30AG028718	Univ of Arkansas for Medical Sciences	10,558	
Department of Health and Human Services	Pass-Through	93.866	5P30AG02871804	Univ of Arkansas for Medical Sciences	(1,811)	
Department of Health and Human Services	Pass-Through	93.866	FY16.001.013	University of Colorado	16,869	
Department of Health and Human Services	Pass-Through	93.866	P01AG051442	University of Michigan	306,764	
Department of Health and Human Services	Pass-Through	93.866	76533587X6	University of New Mexico	74,429	
Department of Health and Human Services	Pass-Through	93.866	UWSC8856	Washington State University	49,760	
Department of Health and Human Services	Pass-Through	93.867	3209850817	Children's Hospital of Philadelphia	1,650	
Department of Health and Human Services	Pass-Through	93.867	ATS17	Jaeb Center for Health Research	9,401	
Department of Health and Human Services	Pass-Through	93.867	3003705558	University of Michigan	51,331	
Department of Health and Human Services	Pass-Through	93.879	UG4LM012345	Univ of North Texas Health Sciences Center	33,924	
Department of Health and Human Services	Pass-Through	93.933	ONARCH VI	Cherokee Nation of Oklahoma	4,496	
Department of Health and Human Services	Pass-Through	93.xxx	HHSN275201000003I	Duke Clinical Research Institute	20,157	
Department of Health and Human Services	Pass-Through	93.xxx	HHSN276201100007C	Houston Acad of Med-TX Med Ctr Library	(163)	
Department of Health and Human Services	Pass-Through	93.xxx	HHSN261200800001E	Leidos	(3,537)	
Department of Health and Human Services	Pass-Through	93.xxx	50351X05367	Mathematica Policy Research	5,736	
Department of Health and Human Services	Pass-Through	93.xxx	HHSN261201300029I	PPD Development, LLC	2,093	
Department of Health and Human Services	Pass-Through	93.xxx	HHSN261200800001E	Social & Scientific Systems, Inc.	10,638	
Total DHHS Research - Pass Through					7,781,486	71,111

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Federal Agency	Direct/Pass through	CFDA	Award Number	Grantor Name	Expenditure	Subrecipient Expenditures
Other Research - Pass Through						
Department of Defense	Pass-Through	12.420	2001214293	Johns Hopkins University	16,399	
National Science Foundation	Pass-Through	47.041	2011-49	University of Oklahoma - Norman	19,369	
National Science Foundation	Pass-Through	47.070	IIS1208639	University of Oklahoma - Norman	138,744	
National Science Foundation	Pass-Through	47.070	14-2-1206654-94802	University of Tulsa	3,442	
Environmental Protection Agency	Pass-Through	66.509	2015-40	University of Oklahoma - Norman	40,421	
Total Other Research - Pass Through					218,375	-
Total Research - Pass Through					7,999,861	71,111
Total Research Cluster					59,718,686	6,462,398
DHHS Instruction - Direct						
Department of Health and Human Services	Direct	93.110	T73MC00033	Maternal and Child Health Bureau	564,414	14,172
Department of Health and Human Services	Direct	93.110	T77MC09799	Maternal and Child Health Bureau	111,355	
Department of Health and Human Services	Direct	93.191	2D40HP26871	Bureau of Health Professions	151,321	
Department of Health and Human Services	Direct	93.243	5U79SM061278	Center for Mental Health Services	363,443	35,293
Department of Health and Human Services	Direct	93.247	1D09HP25024	Bureau of Health Professions	6,034	
Department of Health and Human Services	Direct	93.262	T03OH008614	Natl Inst of Occupational Safety & Health	69,928	
Department of Health and Human Services	Direct	93.358	1A10HP30071	Bureau of Health Professions	237,600	
Department of Health and Human Services	Direct	93.514	1T88HP20943	Bureau of Health Professions	(30,075)	
Department of Health and Human Services	Direct	93.632	90DD0685	Administration on Developmental Disabilities	377,951	22,981
Department of Health and Human Services	Direct	93.884	1D57HP23249	Bureau of Health Professions	31,490	
Department of Health and Human Services	Direct	93.884	5D55HP23210	Bureau of Health Professions	174,333	
Department of Health and Human Services	Direct	93.xxx	HHSP233201400045C	Natl Center for Child Abuse & Neglect	242,183	
Total DHHS Instruction - Direct					2,299,977	72,446
Other Instruction - Direct						
Department of Agriculture	Direct	10.545	FSNSNAPFSUPOK		40,879	
Department of Agriculture	Direct	10.855	OK0746A17		(1)	
Department of Defense	Direct	12.598	HHM4021410007	Defense Intelligence Agency	281,858	68,833
Department of Justice	Direct	16.543	2013MUMUK102	Office of Justice Programs	522,377	26,908
Department of Justice	Direct	16.543	2016MUMUK053	Office of Justice Programs	135,112	

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Federal Agency	Direct/Pass through	CFDA	Award Number	Grantor Name	Expenditure	Subrecipient Expenditures
Department of Justice	Direct	16.731	2015MUMUK011	Office of Justice Programs	1,979,336	
Department of Education	Direct	84.325	H325K120310		234,146	
Total Other Instruction - Direct					3,193,707	95,740
Total Instruction - Direct					5,493,684	168,186
DHHS Instruction - Pass Through						
Department of Health and Human Services	Pass Through	93.110	034493	Arkansas Children's Hospital Research Institute	43,045	
Department of Health and Human Services	Pass Through	93.243	SM061116	Kristi House	23,883	
Department of Health and Human Services	Pass Through	93.283	1519IPE03	Assn for Prevention Teaching & Research	2,498	
Department of Health and Human Services	Pass Through	93.283	1522IPE03	Assn for Prevention Teaching & Research	1,074	
Department of Health and Human Services	Pass Through	93.516	TULHSC5547381617	Tulane University	74,286	
Department of Health and Human Services	Pass Through	93.643	16000610	Oklahoma Department of Human Services	3,584	
Department of Health and Human Services	Pass Through	93.933	ONARCH	Cherokee Nation of Oklahoma	32,137	
Department of Health and Human Services	Pass Through	93.959	4529053742	OK Dept of Mental Health Substance Abuse Services	106,489	
Total DHHS Instruction - Pass Through					286,996	-
Total Instruction - Pass Through						
Department of Agriculture	Pass Through	10.561	209340	Oklahoma Department of Human Services	1,058,783	
Department of Justice	Pass Through	16.540	JJAC20130001	Center for Children, Inc.	1,040	
Department of Justice	Pass Through	16.575	2016VOCAOUHSC079	Oklahoma District Attorneys Council	50,031	
Total Other Instruction - Pass Through					1,109,854	-
Total Instruction - Pass Through					1,396,850	-
Total Instruction					6,890,534	168,186
DHHS Public Service - Direct						
Department of Health and Human Services	Direct	93.153	H12HA24834	HIV/Aids Bureau	474,615	
Department of Health and Human Services	Direct	93.253	H4BHS15502	Health Resources & Services Administration	195,629	
Department of Health and Human Services	Direct	93.918	H76HA00185	HIV/Aids Bureau	812,334	
Total DHHS Public Service - Direct					1,482,578	-
Total Public Service - Direct					1,482,578	-

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Federal Agency	Direct/Pass through	CFDA	Award Number	Grantor Name	Expenditure	Subrecipient Expenditures
DHHS Public Service - Pass Through						
Department of Health and Human Services	Pass Through	93.110	0010176A	Univ of Texas Health Sciences Center	23,038	
Department of Health and Human Services	Pass Through	93.110	UC4MC28042	American College of Obstetricians/Gynecologists	3,883	
Department of Health and Human Services	Pass Through	93.145	OTHER9912	Parkland Health and Hospital System	165,166	29,938
Department of Health and Human Services	Pass Through	93.184	S5039	Association of Schools of Public Health	59,165	
Department of Health and Human Services	Pass Through	93.211	QS851855	Univ of Kansas Medical Center Research Inst	28,214	
Department of Health and Human Services	Pass Through	93.243	4529051054	Oklahoma Department of Human Services	931	
Department of Health and Human Services	Pass Through	93.243	4529053442	Oklahoma Department of Human Services	53,672	
Department of Health and Human Services	Pass Through	93.243	C00051693-1	University of Missouri	86,128	
Department of Health and Human Services	Pass Through	93.283	0011472C	Univ of Texas Health Sciences Center	26,020	
Department of Health and Human Services	Pass Through	93.283	S5064	Association of Schools of Public Health	133,721	
Department of Health and Human Services	Pass Through	93.424	6NU38OT0001400402	Association of University Centers on Disabilities	3,910	
Department of Health and Human Services	Pass Through	93.505	3409020652	Oklahoma State Department of Health	670,881	544,253
Department of Health and Human Services	Pass Through	93.643	17000485	Oklahoma Department of Human Services	31,448	
Department of Health and Human Services	Pass Through	93.643	17000486	Oklahoma Department of Human Services	16,752	
Department of Health and Human Services	Pass Through	93.652	16000634	Oklahoma Department of Human Services	204,710	
Department of Health and Human Services	Pass Through	93.669	16000491	Oklahoma Department of Human Services	105,192	
Department of Health and Human Services	Pass Through	93.719	7760128000007	A+ Government Solutions, Inc.	37,968	
Department of Health and Human Services	Pass Through	93.767	8079003659	Oklahoma Health Care Authority	80,210	
Department of Health and Human Services	Pass Through	93.778	0200259	Oklahoma Department of Human Services	275,916	
Department of Health and Human Services	Pass Through	93.778	0200261	Oklahoma Department of Human Services	146,608	
Department of Health and Human Services	Pass Through	93.778	8079002858	Oklahoma Health Care Authority	4,444,649	
Department of Health and Human Services	Pass Through	93.889	3409017116	Oklahoma State Department of Health	62,676	
Department of Health and Human Services	Pass Through	93.917	3409019629	Oklahoma State Department of Health	757,138	
Department of Health and Human Services	Pass Through	93.917	3409019633	Oklahoma State Department of Health	231,632	
Department of Health and Human Services	Pass Through	93.917	3409019927	Oklahoma State Department of Health	129,174	
Department of Health and Human Services	Pass Through	93.994	0010299	Oklahoma Department of Human Services	26,522	
Department of Health and Human Services	Pass Through	93.994	13000583	Maternal and Child Health Bureau	429,290	
Department of Health and Human Services	Pass Through	93.994	16000441	Oklahoma Department of Human Services	117,568	
Department of Health and Human Services	Pass Through	93.994	17000357	Oklahoma Department of Human Services	286,043	
Department of Health and Human Services	Pass Through	93.994	3409019145	Oklahoma State Department of Health	66,983	
Department of Health and Human Services	Pass Through	93.994	3409020834	Oklahoma State Department of Health	95,363	
Total DHHS Public Service - Pass Through					8,800,571	574,191

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Federal Agency	Direct/Pass through	CFDA	Award Number	Grantor Name	Expenditure	Subrecipient Expenditures
Other Public Service - Pass Through						
Department of Agriculture	Pass Through	10.170	14SCBGPOK0040	Oklahoma Department of Agriculture	8,071	
Department of Justice	Pass Through	16.575	2016VOCAOUHSC022	Oklahoma District Attorneys Council	223,565	
US Embassy	Direct	19.900	SRS50016CA182		34,737	
Total Other Public Service - Pass Through					266,373	-
Total Public Service - Pass Through					9,066,944	574,191
Total Public Service					10,549,522	574,191
Student Financial Assistance Program - DOE Direct						
Department of Education	Direct	84.268			65,011,775	-
Student Financial Assistance Program - DHHS Direct						
Department of Health and Human Services	Direct	93.342		Health Professions Student Loan (HPSL) - Pharmacy	250,000	
Department of Health and Human Services	Direct	93.342		Health Professions Student Loan (HPSL) - Dentistry	275,000	
Department of Health and Human Services	Direct	93.342		Health Professions Undergraduate Nursing Student Loan	383,450	
					908,450	-
June 30, 2016 Health Professions Student Loan Program Loan Balance					5,499,390	
June 30, 2016 Nursing Student Loan Program Loan Balance					1,528,773	
					7,028,163	-
Total DHHS Student Financial Assistance					7,936,613	-
Total Student Financial Aid Cluster					72,948,388	-
Total Federal Expenditures					150,107,130	7,204,775

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 Supplemental Schedule to Schedule of Expenditures of Federal Awards by Federal Agency and by CFDA Number
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<u>Direct/Pass through</u>	<u>CFDA</u>	<u>Award Number</u>	<u>Grantor Name</u>	<u>Expenditure</u>
Department of Agriculture:				
Pass Through	10.170	14SCBGPOK0040	Oklahoma Department of Agriculture	8,071
Direct	10.545	FSNSNAPFSUPOK		40,879
Pass Through	10.561	209340	Oklahoma Department of Human Services	1,058,783
Direct	10.855	OK0746A17		(1)
Total Department of Agriculture				<u>1,107,732</u>
Department of Defense				
Direct	12.598	HHM4021410007	Defense Intelligence Agency	281,858
Department of Justice				
Pass Through	16.540	JJAC20130001	Center for Children, Inc.	1,040
Direct	16.543	2013MUMUK102	Office of Justice Programs	522,377
Direct	16.543	2016MUMUK053	Office of Justice Programs	<u>135,112</u> 657,489
Pass Through	16.575	2016VOCAOUHSC079	Oklahoma District Attorneys Council	50,031
Pass Through	16.575	2016VOCAOUHSC022	Oklahoma District Attorneys Council	223,565 273,596
Direct	16.731	2015MUMUK011	Office of Justice Programs	<u>1,979,336</u>
				2,911,461
US Embassy				
Direct	19.900	SRS50016CA182		34,737
Department of Education				
Direct	84.325	H325K120310		234,146
Department of Health and Human Services				
Direct	93.110		Maternal and Child Health Bureau	675,769
Pass Through	93.110	034493	Arkansas Children's Hospital Research Institute	43,045
Pass Through	93.110	0010176A	Univ of Texas Health Sciences Center	23,038
Pass Through	93.110	UC4MC28042	American College of Obstetricians/Gynecologists	<u>3,883</u> 745,735

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<u>Direct/Pass through</u>	<u>CFDA</u>	<u>Award Number</u>	<u>Grantor Name</u>	<u>Expenditure</u>
Pass Through	93.145	OTHER9912	Parkland Health and Hospital System	165,166
Direct	93.153		HIV/Aids Bureau	474,615
Pass Through	93.184	S5039	Association of Schools of Public Health	59,165
Direct	93.191		Bureau of Health Professions	151,321
Pass Through	93.211	QS851855	Univ of Kansas Medical Center Research Inst	28,214
Direct	93.243		Center for Mental Health Services	363,443
Pass Through	93.243	SM061116	Kristi House	23,883
Pass Through	93.243	4529051054	Oklahoma Department of Human Services	931
Pass Through	93.243	4529053442	Oklahoma Department of Human Services	53,672
Pass Through	93.243	C00051693-1	University of Missouri	86,128
Direct	93.247		Bureau of Health Professions	6,034
Direct	93.253		Health Resources & Services Administration	195,629
Direct	93.262		Natl Inst of Occupational Safety & Health	69,928
Pass Through	93.283	1519IPE03	Assn for Prevention Teaching & Research	2,498
Pass Through	93.283	1522IPE03	Assn for Prevention Teaching & Research	1,074
Pass Through	93.283	0011472C	Univ of Texas Health Sciences Center	26,020
Pass Through	93.283	S5064	Association of Schools of Public Health	133,721
Direct	93.358		Bureau of Health Professions	237,600
Pass Through	93.424	6NU38OT0001400402	Association of University Centers on Disabilities	3,910
Pass Through	93.505	3409020652	Oklahoma State Department of Health	670,881
Direct	93.514		Bureau of Health Professions	(30,075)
Pass Through	93.516	TULHSC5547381617	Tulane University	74,286
Direct	93.632		Administration on Developmental Disabilities	377,951
Pass Through	93.643	16000610	Oklahoma Department of Human Services	3,584
Pass Through	93.643	17000485	Oklahoma Department of Human Services	31,448
Pass Through	93.643	17000486	Oklahoma Department of Human Services	16,752

The University of Oklahoma Health Sciences Center
 Supplemental Schedule to Schedule of Expenditures of Federal Awards by Federal Agency and by CFDA Number
 Year Ended June 30, 2017

<u>Direct/Pass through</u>	<u>CFDA</u>	<u>Award Number</u>	<u>Grantor Name</u>	<u>Expenditure</u>
Pass Through	93.652	16000634	Oklahoma Department of Human Services	204,710
Pass Through	93.669	16000491	Oklahoma Department of Human Services	105,192
Pass Through	93.719	7760128000007	A+ Government Solutions, Inc.	37,968
Pass Through	93.767	8079003659	Oklahoma Health Care Authority	80,210
Pass Through	93.778	0200259	Oklahoma Department of Human Services	275,916
Pass Through	93.778	0200261	Oklahoma Department of Human Services	146,608
Pass Through	93.778	8079002858	Oklahoma Health Care Authority	4,444,649
Direct	93.884		Bureau of Health Professions	205,822
Pass Through	93.889	3409017116	Oklahoma State Department of Health	62,676
Pass Through	93.917	3409019629	Oklahoma State Department of Health	757,138
Pass Through	93.917	3409019633	Oklahoma State Department of Health	231,632
Pass Through	93.917	3409019927	Oklahoma State Department of Health	129,174
Direct	93.918		HIV/Aids Bureau	812,334
Pass Through	93.933	ONARCH	Cherokee Nation of Oklahoma	32,137
Pass Through	93.959	4529053742	OK Dept of Mental Health Substance Abuse Services	106,489
Pass Through	93.994	0010299	Oklahoma Department of Human Services	26,522
Pass Through	93.994	13000583	Maternal and Child Health Bureau	429,290
Pass Through	93.994	16000441	Oklahoma Department of Human Services	117,568
Pass Through	93.994	17000357	Oklahoma Department of Human Services	286,043
Pass Through	93.994	3409019145	Oklahoma State Department of Health	66,983
Pass Through	93.994	3409020834	Oklahoma State Department of Health	95,363
Direct	93.xxx		Natl Center for Child Abuse & Neglect	242,183
Total Department of Health and Human Services				12,870,122
Total				17,440,056
Reconciliation to Detailed SEFA:				
Total Instruction				6,890,534
Total Public Service				10,549,522
				<u>17,440,056</u>

Note 1

The purpose of the Schedule of Expenditures of Federal Awards (the Schedule) is to present a summary of the activities of the University of Oklahoma Health Sciences Center (the Center) which have been financed by the U.S. Government for the year ended June 30, 2017.

For purposes of the Schedule, federal awards have been classified into two types:

- Direct federal awards consisting of federal assistance and federal student financial aid, and
- Pass-through funds received from non-federal organizations made under federally sponsored programs conducted by those organizations.

Because the Schedule presents only a selected portion of the activities of the Center, it is not intended to and does not present the financial position, changes in fund balances, or the revenues, expenditures, and other changes of the Center.

Complete Catalog of Federal Domestic Assistance (CFDA) numbers are presented for those programs for which such numbers were available. CFDA prefixes and other identifying numbers are presented for programs for which a complete CFDA is not available.

The Center passes through certain Funds to subgrantee organizations. Expenditures incurred by the subgrantees and reimbursed by the Center are included in the Schedule.

The Center is also the subrecipient of federal funds that are reported as expenditures and included in the Schedule. The detailed Schedule of Federal Award Expenditures denotes funding sources for pass-through funds. Federal awards other than those indicated as pass-through are denoted as federal direct funds.

Note 2

For purposes of the Schedule, expenditures for federal award programs are recognized on a modified accrual basis of accounting. Federal financial assistance provided to subrecipients is treated as an expenditure when it is paid to the subrecipient.

Note 3

The accompanying detailed Schedule of Expenditures of Federal Awards for the Center is an integral part of the Schedule of Expenditures of Federal Awards.

Note 4

The University of Oklahoma Norman Campus administers the Pell, Supplemental Education Opportunity Grants, and College Work Study student award programs for students attending the Norman and Health Sciences Center Campuses. Grant revenues and expenditures under such programs for students attending these campuses are included in the financial statements of the Norman Campus. Therefore, the Norman Campus Schedule of Expenditures of Federal Awards includes expenditures under such programs for students attending both campuses.

Note 5

Under the Federal Direct Student Loan Program (Direct Loan Program), the U.S. Department of Education makes loans to enable a student or parent to pay the costs of the student's attendance at a postsecondary school. The Direct Loan Program enables an eligible student or parent to obtain a loan to pay for the student's cost of attendance directly from the U.S. Department of Education rather than through private lenders. The Center began participation in the Direct Loan Program on July 1, 2010. The Center administers the origination and disbursement of the loans to eligible students or parents. The Center is not responsible for the collection of these loans. Outstanding loans at June 30, 2017 under the Health Professions Student Loan Program (HPSL, PCL) and the Nursing Student Loan Program are \$5,337,931 and \$1,654,171, respectively.

Section I – Summary of Auditor’s Results

Financial Statements

Type of auditor's report issued	Unmodified
Internal control over financial reporting:	
Material weakness identified	No
Significant deficiencies identified not considered to be material weaknesses	None reported
Noncompliance material to financial statements noted	No

Federal Awards

Internal control over major programs:	
Material weakness identified	Yes
Significant deficiencies identified not considered to be material weaknesses	None reported
Type of auditor's report issued on compliance for major programs	Unmodified
Any audit findings disclosed that are required to be reported in accordance with Uniform Guidance 2 CFR Section 516:	Yes

Name of Federal Program or Cluster

CFDA number

Student Financial Aid Cluster	93.342, 84.268
Research and Development Cluster	*

* See the Schedule of Expenditures of Federal Awards for identification of CFDA numbers applicable to this major program.

Dollar threshold used to distinguish between Type A and Type B programs	\$ 2,314,762
Auditee qualified as low-risk auditee	Yes

Section II – Findings Relating to the Financial Statements

None reported.

Section III – Findings Related to Federal Awards

**2017-001 Direct Program from U.S. Department of Education
Student Financial Aid Cluster (CFDA #'s 84.268, 84.063, 93.342, 93.364)
Special Tests: Return of Funds, Enrollment Reporting
Material Weakness in Internal Control over Compliance**

Criteria: A student's enrollment status determines eligibility for in-school status, deferment, and grace periods, as well as for the payment of interest subsidies to FFEL Program loan holders by ED. Enrollment Reporting in a timely and accurate manner is critical for effective management of the programs. Enrollment information must be reported within 30 days whenever attendance changes for students, unless a roster will be submitted within 60 days.

When a recipient of Title IV grant or loan assistance withdraws from an institution during a payment period or period of enrollment in which the recipient began attendance, the institution must determine the amount of Title IV aid earned by the student as of the student's withdrawal date. If the total amount of Title IV assistance earned by the student is less than the amount that was disbursed to the student or on his/her behalf as of the date of the institution's determination that the student withdrew, the difference must be returned to the Title IV programs as outlined by the regulations. If the amount the student earned is greater than the amount disbursed, the difference between the amounts must be treated as a post-withdrawal disbursement (34 CFR section 668.22(a)(1) through (a)(5)).

Condition: In our student testing, there were 35 students that had a change in enrollment status (i.e. withdrawal, graduation, reduction from full-time to part-time). We identified 6 status changes that were not reported to the NSLDS within the 60 day time requirement and we noted one instance where the status change date per the institution's records did not agree to the NSLDS records. Further, we identified one instance where the incorrect date was used to calculate the return of funds that resulted in an incorrect calculation of funds to be returned.

Cause: Internal controls do not appear to be in place to accurately and timely identify the relevant dates for reporting to NSLDS and for return of fund calculation purposes.

Effect: Untimely reporting of student enrollment status does not allow the Department of Education to properly track and monitor students, including initiation of the loan repayment process. Further, use of improper dates in the return of funds calculation will likely result in incorrect calculations and potential questioned costs.

**Question
Costs:** None reported

Context/Sampling:

Out of the 35 sample of enrollment status changes, one of the status change dates did not agree with NSLDS and 6 status changes were not reported to NSLDS within the time required by the federal regulations. Further, one withdrawal had an incorrect calculation of the return of funds due to an improper date being used for the calculation. Non-statistical sampling was used.

Repeat Finding from Prior Year:

No.

Recommendation:

We recommend that management update their control processes to ensure that the proper status date changes are being reported and being used for return of funds calculations, if applicable.

Views of Responsible Officials:

Management acknowledges the finding and is implementing internal processes to ensure timely and accurate reporting of enrollment status date changes to NSLDS and for use in the return of funds calculations.

Management's Response to Auditor's Findings
Summary Schedule of Prior Audit Findings and
Corrective Action Plan
Year Ended June 30, 2017

Prepared by Management of
The University of Oklahoma Health Sciences Center

**The University of Oklahoma Health Sciences Center
Summary Schedule of Prior Audit Findings
Year Ended June 30, 2016**

Finding 2016-A

Initial Fiscal Year Finding Occurred: 2016

Finding Summary: The institution's internal control system did not identify the amount of loans required to be presented in the Schedule of Expenditures of Federal Awards (SEFA) under the new Uniform Guidance.

Status: Corrective action was taken.

Finding 2016-001

*Direct Program from U.S. Department of Education
Student Financial Aid Cluster
CFDA #93.342*

Initial Fiscal Year Finding Occurred: 2016

Finding Summary: Disbursements made by the institution in May and June 2015 did not have the proper notifications distributed. Management was aware and in the process of correcting a technical issue in the ERP system preventing the automatic generation of the cancellation notices. This was resolved by July 2015.

Status: Corrective action was taken.

Finding 2016-002

*Direct Program from U.S. Department of Education
Student Financial Aid Cluster
CFDA #'s 84.268, 93.342*

Initial Fiscal Year Finding Occurred: 2016

Finding Summary: Three instances were noted where a transfer student had a disbursement from the institution before NSLDS's notification of transfer rather than waiting the required 7 days.

Status: Corrective action was taken.

**The University of Oklahoma Health Sciences Center
Corrective Action Plan (CAP)
Year Ended June 30, 2017**

2017-001 Direct Program from U.S. Department of Education
Student Financial Aid Cluster (CFDA #'s 84.268, 84.063, 93.342, 93.364)
Special Tests: Return of Funds, Enrollment Reporting
Material Weakness in Internal Control over Compliance

Actions Planned in Response to Finding:

The Office of Admissions and Records and Institutional Research are implementing processes to ensure that enrollment status date changes are reported to NSLDS both timely and accurately. The Financial Aid Office is implementing processes to ensure the accuracy of the status date changes used for the calculation of return of funds.

Explanation of Disagreement:

Management concurs with the finding and proper controls are being implemented during FY2018.

Officials Responsible for Ensuring Corrective Action:

Dr. Jane Pippin, Assistant Vice Provost for Academic Affairs and Sherry Glover, Bursar & Director of Student Financial Services

Planned Completion for Corrective Action:

Corrective actions will be completed by November 1, 2017.

Plan to Monitor Completion of Corrective Action:

The Director of Institutional Research and the Registrar will continue to monitor the submission and accuracy of the enrollment status date changes submitted to NSLDS. The Financial Aid Director will validate the return of funds calculation process to ensure status date changes used in this calculation are accurate.